

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: SANDERS, Royden C.

Group Art Unit: 3672

Serial No.

10/731,056

Examiner:

Filed:

12/09/2003

Atty. Dkt. No: SDI21-US

For: SIESMIC SENSITIVE MASS MOTION POWER CONVERTER FOR PROTECTING

STRUCTURES FROM EARTHQUAKES

To:

Mail Stop IDS

Fm:

24222

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

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Date:

Debra A. Stengel

Commissioner:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is the Applicant's Information Disclosure Statement, 2 sheets form CFR 1.98 (a)(2)(i) applicant has not transmitted herewith copies of cited U.S. Patents and U.S. patent application publications as the above application was filed after June 30, 2003. Please enter in the above application and communicate in all related matters with the undersigned.

All necessary fees are intended to be included, however the Office is hereby authorized to charge any deficiency or credit any overpayment in the fees to deposit account #500323.

Respectfully submitted,

Vernon C. Maine, Reg. No. 37,389 Attorneys/Agents for Applicant

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INFORMATION DISCLOSURE STATEMENT

Applicants submit this statement and the attached 2 sheet(s) of form PTO/SB/08A and/or PTO/SB/08B and 7 references and other information in accordance to the duty of disclosure under 37 C.F.R. §§1.56,1.97, and 1.98, and requests consideration hereof. Pursuant to 37 CFR 1.98 (a)(2)(i) applicant has not transmitted herewith copies of cited U.S. Patents and U.S. patent application publications as the above application was filed after June 30, 2003.

Compliance with 37 C.F.R. §1.97: This Information Disclosure Statement is filed within three (3) months of the filing date of a National Application or before the mailing date of a first office action on the merits. No fee or certification is required.

Information Cited: The Applicants hereby make of record in the above-identified application, the information listed on the attached form PTO/SB/08A and/or PTO/SB/08B. The order of presentation of the references should not be construed as an indication of the importance of the reference. As all the references listed on attached Form PTO/SB/08A and/or PTO/SB/08B are in English, no commentary is required.

Remarks: A copy of each reference, together with a listing on Form PTO/SB/08A and/or PTO/SB/08B, is submitted herewith. Applicants respectfully request that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

- 2. The enclosed form PTO/SB/08A and/or PTO/SB/08B be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application; and
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined in 37 C.F.R. §102.

Notwithstanding any statement by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Vernon C. Maine, Reg. No. 37,389

Attorneys/Agents for Applicant

Cus. No. 24222

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PTO/SB/08A (08-03)

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Sheet 1

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/731,056			
Filing Date	12/09/2003			
First Named Inventor	SANDERS, Royden C.			
Art Unit	3672			
Examiner Name				
Attorney Docket Number	SDI21-US			

U. S. PATENT DOCUMENTS								
Examiner Initials*			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		^{US-} 4,587,773	05-13-1986	Valencia				
		^{US-} 6,608,558 B2	08-19-2003	Sen et al				
		^{US-} 6,609,265B1	08-26-2003	Jee				
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO			-	Complete if Known			
				Application Number	10/731,056		
			CLOSURE	Filing Date	12/09/2003		
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	SANDERS, Royden C.		
	(Use as many she	note se n	araccani)	Art Unit	3672		
(ose as many sneets as necessary)				Examiner Name			
Sheet	2	of	2	Attorney Docket Number	SDI21-US		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		LYNCH, Jerome, Overview of Active Structural Control Systems at Kajima Corporation, National Science Foundation Tokyo Regiional Office, http://www.nsftokyo.org/ssr98-19.html, October 12, 1998	
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		TAYLOR, D.P. et al, Test Methodology and Procedures for Fluid Viscous Dampers Used in Structures to Dissipate Seismic Energy (technical report), April 28, 1994, pp 1-53	

Examiner	Date	
Signature	 Considered	 _

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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